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### EXHIBIT 7

### **BakerHostetler**

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September 19, 2014

# Marilyn Komarc REDACTED

Re: Securities Investor Protection Corporation v. Bernard L. Madoff Investment Securities LLC ("BLMIS"), 08-01789 (SMB)

Dear Ms. Komarc,

We are counsel to Irving H. Picard, Trustee for the substantively consolidated Securities Investor Protection Act ("SIPA") liquidation proceedings of Bernard L. Madoff Investment Securities LLC and the estate of Bernard L. Madoff. We are writing to you regarding the objections filed on July 14, 2010, July 19, 2010 and July 20, 2010 in the aforementioned case (Docket Number 2715, 2775, and 2777) in response to the Trustee's determination of claim number 013430.

On June 28, 2011, the Bankruptcy Court for the Southern District of New York issued a decision affirming the Trustee's denial of in excess of 1,400 claims that did not have accounts with BLMIS, but instead invested in certain funds (referred to as "feeder funds"). Sec. Investor Prot. Corp. v. Bernard L. Madoff Inv. Sec. LLC, 454 B.R. 285, 292 (Bankr. S.D.N.Y. 2011), aff'd sub nom, Aozora Bank Ltd. v. Sec. Investor Prot. Corp., 480 B.R. 117 (S.D.N.Y. 2012), aff'd sub nom, Kruse v. Sec. Investor Prot. Corp. (In re Bernard L. Madoff Inv. Sec. LLC), 708 F.3d 422 (2d Cir. 2013). There were no petitions for certiorari to the Supreme Court of the United States. Copies of these decisions are enclosed herewith for your reference.

As the Trustee advised you in the Notice of Determination dated October 22, 2010, to which you objected, you did not invest directly with BLMIS and did not maintain a BLMIS account in your name. In order to resolve your claim objections, we require the following discovery, under the Federal Rules of Bankruptcy Procedure. Upon completion of discovery, the Trustee's staff will review all information submitted and the Trustee may initiate proceedings to resolve your claim objections where necessary. The Trustee has not obtained hearing dates for such future proceedings and such proceedings may take a significant amount of time given the review of voluminous discovery and is dependent on requesting such dates from the Bankruptcy Court. You

Atlanta Chicago Houston Los Angeles Cincinnati New York Cleveland Orlando Columbus Philadelphia Costa Mesa Denver Seattle Washington, DC Marilyn Komarc September 19, 2014 Page 2

will be notified in advance of any hearing on your claim objections. As part of this discovery, please provide the documents and information requested in Exhibits 1, 2, and 3, enclosed. For this purpose, references to "accountholder" mean David Shapiro Nominee 2 investment club, and references to "account" mean BLMIS account number 180298.

The aforementioned objections to the Trustee's determination of your claim were filed on your behalf by Ms. Helen Davis Chaitman. We have enclosed a courtesy copy of these objections with this letter. We have since been informed by Ms. Chaitman that she is not representing you regarding this discovery. This is why the Trustee is writing to you directly. The Trustee takes no position as to whether you should or should not be represented by counsel for purposes of your objection or the requests made in this letter.

To the extent that you do not participate in discovery, the Trustee's position will be that you may be barred in future claims objections proceedings. Whether you may participate in future claims proceedings on your claim objections will be left to the sound discretion of the Bankruptcy Court. In order for your claim objections to be processed, it is imperative that we receive your responses. Please send your responses to me at the address contained herein, within thirty-five (35) days of the date of this letter, by October 24, 2014.

If you intend to withdraw your objections, please contact me. For all other questions, please email <a href="mailto:madoffclaimsdiscovery@bakerlaw.com">madoffclaimsdiscovery@bakerlaw.com</a>. Any communication should include the question, the claim number and the email or U.S. mail address for the response. Someone will respond as promptly as possible.

Sincerely,

Bik Cheema

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#### **Exhibit 1: Documents**

## Please produce the following documentation to the extent that it is in your possession or otherwise available to you:

- 1. All documents concerning BLMIS, including, but not limited to correspondence to, from, or concerning BLMIS; any contracts or agreements with or relating to BLMIS; any customer or other statements of account in your name; and/or any marketing or promotional materials.
- 2. Any documents other than those requested in question 1 above, which reflect that you had an account in your name at BLMIS.
- 3. All documents that reflect you were identified or otherwise reflected in BLMIS's books and records.
- 4. All documents concerning any relationship between you and BLMIS.
- 5. All documents concerning your entrustment of securities and or funds directly to BLMIS.
- 6. All documents concerning your withdrawal of funds directly from BLMIS.
- 7. All documents concerning any other BLMIS account in which you allege to have a claim.
- 8. All documents that evidence you had control, investment discretion, or decision-making power over investment assets at BLMIS.
- 9. All documents concerning the accountholder through which you invested funds in BLMIS, including, but not limited to, documents concerning the formation of the accountholder; your rights and interests as it relates to the accountholder; management of the accountholder; any marketing, promotional, or other informational materials; and all financial records concerning your relationships, such as checks, account statements, bank account records, and tax documents.
- 10. All documents that support your objection to the Trustee's determination of your claims.
- 11. All documents you intend to rely upon to support your claims to "customer" status as such term is defined in SIPA.
- 12. All documents disputing that the investment assets at BLMIS were owned by and in the name of the accountholder.
- 13. All documents that were identified, reviewed, prepared, or consulted in responding to these requests.

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### **Exhibit 2: Interrogatories**

### Please answer the following questions:

includin	all documents concerning how you came to invest in the account g how you learned of or became aware of the accountholder, and untholder came to invest.
11	
connect	all documents that describe how you made investments or deposion with the account, including, but not limited to, the process for such investments or deposits, the person and/or entity to whom sents or deposits were sent, and the method for making such investits.

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Identify all documents concerning any other BLMIS account in which you at to have a claim. For each BLMIS account in which you allege to have a claim identify all person(s) who performed any due diligence or provided any advisopinion, commentary, or analysis of BLMIS to you or on your behalf, and identify all documents that they reviewed before opening and investing in the account.
Identify all persons at BLMIS with whom you had any communications, and identify all documents concerning the substance of those communications, including which (if any) BLMIS account such communications related to.
Identify each person who had control over the accountholder and/or authorit exercise discretion with respect to the assets or investments of accountholder.
Identify each person who received direct payments from BLMIS in connective with the account, and identify all documents concerning the method(s) by we such payments were made, including from whom such payments were sent.

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where a	all documents concerning meetings that a representative of you are employee or representative of BLMIS was present, and identifyents that describe the substance of the communications that occurrence meeting.
the BL each ba	y any and all banks where you hold or have held accounts from the MIS account you invested in was opened, through the present, and account, identify all documents evidencing the account number account was opened, and the date the account was closed.

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### **Exhibit 3: Requests for Admissions**

Please respond with "admit" or "deny" to the following statements where applicable:

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1	Admit you did not have an account in your name at BLMIS.
	Admit you never received correspondence directly from BLMIS.
	Admit you never deposited securities directly with BLMIS.
	Admit you never made a payment of cash directly to BLMIS for credit to account in your name.
-	Admit you never withdrew funds directly from BLMIS.

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	it that any funds you received were transmitted to you from the untholder.
Adm	nit you did not receive investment statements from BLMIS in your r
Adm	nit you did not receive tax statements from BLMIS in your name.
Adm	nit you never entered into any contracts in your name with BLMIS.
	nit your only relationship to BLMIS existed by way of your relation accountholder.
	nit you did not have any control, investment discretion or decision- er over any investment assets at BLMIS.

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	:			
- "	1			
	dmit that there was an accountholder agreement that restricted managents of members giving exclusive management and control of the			
		ent of the investment		

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### **CERTIFICATE OF SERVICE**

I hereby certify that a true and accurate copy of the foregoing Requests for Production of Documents, Interrogatories, Requests for Admissions and accompanying letter relating to the objections to the Trustee's determination of claim number 013430 (Case No. 08-01789, Docket No's 2715, 2775, and 2777) was served this 19<sup>th</sup> day of September, 2014 by First Class Certified Mail upon the following:

REDACTED

An attorney for Irving H. Picard, Trustee for the Substantively Consolidated SIPA Liquidation of Bernard L. Madoff Investment Securities LLC and the Estate of Bernard L. Madoff